IAP15 Rec'd PCT/PTO 0 7 SEP 2006

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 011235.58188US U.S. APPLICATION NO. (If known, see 32 CFR 155) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/DE2005/000438 March 16, 2004 March 11, 2005 TITLE OF INVENTION METHOD AND APPARATUS FOR ROTARY FRICTION WELDING APPLICANT(S) FOR DO/EO/US Ulrich KNOTT, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. Is not required, as the application was filed in the United States Receiving Office (RO/US). C 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An information disclosure statement under 37 CFR 1.97 and 1.96.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

are attached hereto (required only if not communicated by the International Bureau).

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

have not been made; however, the time limit for making such amendments has NOT expired.

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C.

A preliminary amendment.

371(c)(5)).

a.

b.

c. d.

8.

9.

10.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

16. A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

have been communicated by the International Bureau.

have not been made and will not be made.

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: a. 2 sheets of drawings showing figures 1-4 b. German language priority application c. 1st page of WO 2005/089997A1 d. PCT/IB/301 e. PCT/IB/304 f. PCT/IB/308(First Notice) g. PCT/IB/308(Second and Supplementary Notice) h. PCT/ISA/220 i. PCT/ISA/237 j. PCT/RO/101 k. PCT/IPEA/402 I. PCT/IPEA/409 m. PCT/IPEA/416 n. Response to written notice from ISA of 07/27/05 (w/English translation) o. Express Mail No.: EV843234605US

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP12 Rss'd PCT/PTO 3 7 SEP 2006

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U.S. APPLICATION NO. (If known see 37/05/2014) APPLICATION NO. (If known see 37/05/2014) APPLICATION NO.

U.S. APPLICATION NO. (Eknown-sep-37CFD) INTERNATIONAL APPLICATION NO. PCT/DE2005/000438						ATTORNEY'S DOCKET NUMBER	
						011235.58188US	
The following fees have been submitted 21. Basic national fee						CALCULATIONS	PTO USE ONLY
21. Basic national fee						\$300.00	
If International preliminary examination report prepared by USPTO and all claims							
	sions of PCT Art						
All other situations\$200.00						\$200.00	
23. Search fee							
Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to							
the USPTO as an International Searching Authority							
All other situations\$500						\$400.00	
TOTAL OF 21, 22 AND 23 =						\$900.00	
	e for specification						
\$250 for eac	h additional 50 s		or fraction thereof.				
Total Sheets	Extra Sheets	heets Number of each additional 50 or fraction thereof (round up to a whole number)			TE		
- 100			notes. (realis up to a vinole fluition)		250	\$,
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest							
claimed priority date (37 CFR 1.492(h)).						\$130.00	
		BER FILED	NUMBER EXTRA	RATE			
Total claims		21 - 20	1	х		\$50.00	
Independent claims		4 - 3	1	x		\$200.00	<u>-</u>
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360						\$	
TOTAL OF ABOVE CALCULATIONS =						\$_	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
SUBTOTAL = \$1,280.00							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i))+							
TOTAL NATIONAL FEE =						\$1,280.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be							
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+						\$	
TOTAL FEES ENCLOSED =						\$1,280.00	
						Amount to be	•
						refunded: Amount to be	\$
						charged:	\$
a. A check in the amount of \$XXXXXXX to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. XXXXXXUS) in the amount of \$ to cover the above fees. A							
duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit							
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 011235.58188US). A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Crowell & Moring LLP						star /	
Intellectual Property Group SIGNATURE						. 7	
P.O. Box 14300 <u>Robert L. Grabarek, J</u> Washington, D.C. 20044-4300 NAME						Ir.	
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September 7, 2006 DATE							
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